

13 MAY 2003

U.S. Application No.
10/009,973

International Application No.
PCT/NO00/00160

Attorney Docket No.
HAMSO19.001APC

Date: May 13, 2002

Page 1

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: United States Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202, on

5/13/02
(Date)
James W. Ausley, Reg. No. 49,076

**TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED
OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 USC 371**

International Application No.: PCT/NO00/00160

International Filing Date: May 18, 2000

Priority Date Claimed: June 3, 1999

Title of Invention: TAMPER-RESISTANT BOX FOR VIDEOCASSETTES, COMPACT DISCS
(CDs) AND THE LIKE

Applicants for DO/EO/US: Moen, et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- (X) This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
- (X) Copy of Notification of Missing Requirements Under 35 U.S.C. 371 In The United States Designated/Elected Office (DO/EO/US) dated March 11, 2002.
- (X) An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- (X) A power of attorney and copy of assignment in two (2) pages.
- (X) The present application qualifies for small entity status under 37 C.F.R. § 1.27.
- (X) A return prepaid postcard.
- (X) The fee of \$65 for submission of the Declaration after 30 months from the priority under 37 C.F.R. 1.492(e).
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 11-1410.

By:

James W. Ausley
Registration No. 49,076
Customer No. 20,995

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Darrell L. Olson*
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Fax 909-781-4507
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Of Counsel
Louis J. Knobbe*
Jerry R. Seiler

Japanese Patent Atty
Katsuhiko Arai
Tomohisa Sugiyama

Korean Patent Atty
Mincheol Kim
Heungsoo Choi

Scientists & Engineers
(Non-Lawyers)

Raimond J. Salenieke**
Khuram Rahman, Ph.D.
Jennifer Haynes, Ph.D.**
Tommy Y. Nagata
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* A Professional Corporation
† Also Barrister At Law (Eng. & Wales)
** U.S. Patent Agent
†† Also Solicitor (Eng. & Wales)

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To: Christine Washington

FIRM: USPTO

FACSIMILE No.: 703 305 3230

FROM: James Ausley, Reg. No. 49,076

DATE: May 7, 2003

OUR REF.: HAMSO19.001APC

YOUR REF.: 10/009,973

OPERATOR: Amanda Cogar

NO. OF PAGES: 15 (incl. cover sheet)

TIME:

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Fax 949-760-9502

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Tel 619-235-8550
Fax 619-235-0176

201 California Street
Suite 1150
San Francisco CA 94111
Tel 415-854-4114
Fax 415-854-4111

1900 Avenue of the Stars
Suite 1425
Los Angeles CA 90067
Tel 310-551-3450
Fax 310-551-3458

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Tel 805-547-5580
Fax 805-547-5590

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DATE May 13, 2002\$ 65.00Sixty five dollars even

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MP

⑈007772⑈ ⑆121000248⑆4159335140⑈

UTILITY/DESIGN PATENT

(Missing Parts)

DATE: 5-13-02DATE OF O.A.: 3-11-2002

Rec'd in the U.S.P.T.O. on the date stamped hereon via Certificate of Mail:

Atty. Dkt. # HAMS019.001 APCA/N: 10/009,973Filed: 11-30-2001Applicant: Maen, et al.Atty: JWATitle: Impact-Resistant Box for Videocassettes, Compact Discs (CDs)VERIFIED BY: Asst: SS

Quality Control:

☒ Transmittal Letter in Duplicate☐ Preliminary Amendment in pgs.☒ Copy of Notice of Missing Requirements☐ Copy of Original Specification and Drawings☐ Decl. and Power of Attorney☒ Decl. by Inventor(s)☒ Power of Atty. by Assignee; Copy of Assignment☐ Ext. of Time Requested☒ Small Entity Statement(s)☐ Letter Requesting Refund in dupl.☒ \$ 65 Check for Filing Fee☐ Information Disclosure Statement; PTO 1449 w/ Ref(s).☒ Return Postcard

HAMSO19.001 APC R.S.

MHT/JWA

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UNITED STATES PATENT AND TRADEMARK OFFICE

 Commissioner for Patents, Box 101
 United States Patent and Trademark Office
 Washington, D.C. 20231
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY DOCKET NO.
10/009,973	Moen	HAMSO19.001 APC

INTERNATIONAL APPLICATION NO.

PCT/NO00/00160

I.A. FILING DATE PRIORITY DATE

05/18/2000

06/03/1999

20995

 KNOBBE MARTENS OLSON & BEAR LLP
 620 NEWPORT CENTER DRIVE
 SIXTEENTH FLOOR
 NEWPORT BEACH, CA 92660

CONFIRMATION NO. 5384

371 FORMALITIES LETTER



0000000007587101

Date Mailed: 03/11/2002

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Indication of Small Entity Status
- Priority Document
- Copy of IPE Report
- Copy of the International Application
- Copy of the International Search Report
- Preliminary Amendments
- Request for Immediate Examination
- Small Entity Statement

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$65 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

- \$65 Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

CHRISTINE S WASHINGTON

Telephone: (703) 305-3752

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO	INTERNATIONAL APPLICATION NO.	ATTY DOCKET NO.
10/009,973	PCT/NO00/00160	HAMSO19.001APC

FORM PCT/DO/EO/905 (371 Formalities Notice)

Application No.: 10/009973

Client Code: HAMSO19.001APC

Filing Date: November 30, 2001

Page 1

ASSIGNMENT

WHEREAS, We, Øyvind Moen, a Norway citizen residing at Bellevy 12, N-4790, NORWAY, Finn Berg Sandsmyra 29, N-4790 Lillesand, NORWAY, Tor Andersen, Labritan 10, N-1349 Rykkia, NORWAY, have invented certain new and useful improvements in a TAMPER-RESISTANT BOX FOR VIDEOCASSETTES, COMPACT DISCS (CDs) AND THE LIKE for which we have filed an application for Letters Patent in the United States, 10/009973 November 30, 2001;

AND WHEREAS, Smartbox AS (hereinafter "ASSIGNEE"), a Norwegian Corporation, with its principal place of business at Brynsveien 132, N-1352 Kolsås, NORWAY, desires to acquire the entire right, title, and interest in and to the said improvements and the said Application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to me in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said inventors, do hereby acknowledge that we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title, and interest throughout the world in, to and under the said improvements, and the said application and all provisional applications relating thereto, and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof and we do hereby authorize and cause the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 26 day of 2, 2002


Øyvind Moen

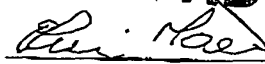
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SS.

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On _____, before me, _____, personally appeared Øyvind Moen personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged to me that he executed the same in the authorized capacity(ies), and that by his signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

 ELIN MOEN
Witness

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 26 day of 2, 2002

Finn R. Berg

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WITNESS my hand and official seal.

Bj-Bj K-
Witness

BJORN BYKLUM

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 26 day of 2, 2002

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Tor Andersen

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WITNESS my hand and official seal.

Elin Moen
Witness

ELIN MOEN

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Channon Smith

⑈00777⑈ ⑈1⑈ ⑈12⑈000248⑈4⑈159335140⑈

UTILITY/DESIGN PATENT

Date: 5-13-02

(miscellaneous)

Date of O.A.:

Rec'd in the U.S.P.T.O. on the date stamped hereon via Certificate of Mail:

App. Dkt. # HAMSO19.001 APC

A/N: 10/009,973

Filed: 11-30-01

Pat. #

Issued:

App: JWA

Ext: Unknown

Art Unit:

Batch:

Re-Exam #

Title: Tamper-Resistant Box for Video. Applicant: Morn, et al

VERIFIED BY: Asst: ss

Quality Control:

☒ Assignment Recordation Form Cover Sheet☒ Assignment in 2 pages☐☐☐☐☐☐☐ Return Postcard

Client Code: HAMSO19.001APC

10 Rec'd PCT/PTC 13 MAY 2003

RECORDATION FORM COVER SHEET PATENTS ONLY

TO THE ASSISTANT COMMISSIONER FOR PATENTS: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): (If multiple assignors, list numerically)

1. Øyvind Moen
2. Finn Berg
3. Tor Anderson

Additional name(s) of conveying party(ies) attached?
() Yes (X) No

2. Name and address of receiving party(ies):

Name: Smartbox AS
Street Address: Brynsviken 132
City: N-1352 Kolsås Country: Norway

Additional name(s) of receiving party(ies) attached?
() Yes (X) No

3. Nature of conveyance:

- (X) Assignment
() Merger
() Security Agreement

Execution Date: (If multiple assignors, list execution dates in numerical order corresponding to numbers indicated in 1 above)

1. February 26, 2002
2. February 26, 2002
3. February 26, 2002

4. Application number(s) or Patent number(s):

- (X) Patent Application No.: 10/009973
Filing Date: November 30, 2001

() Patent No.:
Issue Date:

Additional numbers attached? () Yes (X) No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: James W. Ausley
KNOBBE, MARTENS, OLSON & BEAR, LLP
Customer No. 20,995
Internal Address: Sixteenth Floor
Street Address: 620 Newport Center Drive
City: Newport Beach State: CA ZIP: 92660
Attorney's Docket No.: HAMSO19.001APC

7. Total fee (37 CFR 1.21(h)): \$40

(X) Enclosed
() Authorized to be charged to deposit account

8. Deposit account number: 11-1410

Please charge this account for any additional fees which may be required, or credit any overpayment to this account.

6. Total number of applications and patents involved: 1

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct, and any attached copy is a true copy of the original document.

James W. Ausley
Name of Person Signing



Signature

5/13/02
Date

49,076
Registration No.

Total number of pages including cover sheet, attachments and document: 3

Mail documents to be recorded with required cover sheet information to:

U.S. Patent and Trademark Office
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Crystal Gateway-4
1213 Jefferson Davis Highway, Suite 320
Arlington, VA 22202

ASSIGNMENT

WHEREAS, We, Øyvind Moen, a Norway citizen, residing at Bellevy 12, N-4790, NORWAY, Finn Berg Sandsmyra 29, N-4790 Lillesand, NORWAY, Tor Anderson, Lebråtan 10, N-1349 Rykkinn, NORWAY, have invented certain new and useful improvements in a TAMPER-RESISTANT BOX FOR VIDEOCASSETTES, COMPACT DISCS (CDs) AND THE LIKE for which we have filed an application for Letters Patent in the United States, 10/009973 November 30, 2001;

AND WHEREAS, Smartbox AS (hereinafter "ASSIGNEE"), a Norwegian Corporation, with its principal place of business at Brynsveien 132, N-1352 Kolsås, NORWAY, desires to acquire the entire right, title, and interest in and to the said improvements and the said Application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to me in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said inventors, do hereby acknowledge that we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title, and interest throughout the world in, to and under the said improvements, and the said application and all provisional applications relating thereto, and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 26 day of 2, 2002


Øyvind Moen

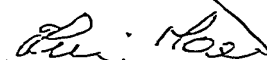
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COUNTY OF

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WITNESS my hand and official seal.

 ELIN MOEN
Witness

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 26 day of 2, 2002

Finn R. Berg
Finn Berg

STATE OF

COUNTY OF

SS.

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WITNESS my hand and official seal.

Bj. Bj. K.
Witness

Bjorn BYKLEIN

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 26 day of 2, 2002

Tor Andersen
Tor Andersen

STATE OF

COUNTY OF

SS.

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WITNESS my hand and official seal.

Elin Moen
Witness

ELIN MOEN

*** RX REPORT ***

RECEPTION OK

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RESULT	OK	

HAMSO19.001APC

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Moen, et al.)
App. No. : 10/009973)
Filed : November 30, 2001)
For : TAMPER-RESISTANT BOX FOR)
VIDEOCASSETTES, COMPACT)
DISCS (CDs) AND THE LIKE)
Examiner : Unknown)

ESTABLISHMENT OF RIGHT OF ASSIGNEE TO TAKE ACTION
AND
REVOCATION AND POWER OF ATTORNEY

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

The undersigned is empowered to act on behalf of the assignee below (the "Assignee"). A true copy of the original Assignment of the above-captioned application from the inventor(s) to the Assignee is attached hereto. This Assignment represents the entire chain of title of this invention from the Inventor(s) to the Assignee.

I declare that all statements made herein are true, and that all statements made upon information and belief are believed to be true, and further, that these statements were made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001, and that willful, false statements may jeopardize the validity of the application, or any patent issuing thereon.

The undersigned hereby revokes any previous powers of attorney in the subject application, and hereby appoints the registrants of Knobbe, Martens, Olson & Bear, LLP, 620 Newport Center Drive, Sixteenth Floor, Newport Beach, California 92660, Telephone (949) 760-0404, Customer No. 20,995, as its attorneys with full power of substitution and

App. No. : 10/209973

Filed : November 30, 2001

revocation to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected herewith. This appointment is to be to the exclusion of the inventor(s) and his attorney(s) in accordance with the provisions of 37 C.F.R. § 3.71.

Please use Customer No. 20,995 for all communications.

Smartbox AS

Dated: 26. 2. 02By: Title: *Managing
director*

Address: Brynsveien 132
N-1352 Kolsås
NORWAY

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